

21. Find the local maxima & minima for  $z = (x^2 + 3y^2)e^{1-x^2-y^2}$ .

$$\frac{\partial F}{\partial x} = 2xe^{1-x^2-y^2} + (x^2+3y^2)[-2x]e^{1-x^2-y^2}$$

$$= 2xe^{1-x^2-y^2}[1-x^2-3y^2] = 0 \Rightarrow x=0 \text{ or } 1-x^2-3y^2=0.$$

$$\frac{\partial F}{\partial y} = 6ye^{1-x^2-y^2} + (x^2+3y^2)[-2y]e^{1-x^2-y^2}$$

$$= 2ye^{1-x^2-y^2}[3-x^2-3y^2] = 0 \Rightarrow y=0 \text{ or } 3-x^2-3y^2=0.$$

$$\text{If } x=0 \Rightarrow y=0 \text{ or } 3-3y^2=0 \Rightarrow 1-y^2=0 \Rightarrow y^2=1 \Rightarrow y=\pm 1.$$

$$\text{If } y=0 \Rightarrow x=0 \text{ or } 1-x^2=0 \Rightarrow x^2=1 \Rightarrow x=\pm 1.$$

$$\text{If } x \neq 0 \Rightarrow 1-x^2-3y^2=0 \text{ and } 3-x^2-3y^2=0$$

$$\text{and } y \neq 0 \Rightarrow 2 + (1-x^2-3y^2) = 0 \Rightarrow 2 = 0 \text{ (impossible)}$$

So, our critical points are  $(0,0)$ ,  $(0,1)$ ,  $(0,-1)$ ,  $(1,0)$ , &  $(-1,0)$ .

$$\frac{\partial^2 F}{\partial x^2} = \left[ 2e^{1-x^2-y^2} + 2x[-2x]e^{1-x^2-y^2} \right] [1-x^2-3y^2] + 2xe^{1-x^2-y^2}[-2x].$$

$$\frac{\partial^2 F}{\partial y^2} = \left[ 2e^{1-x^2-y^2} + 2y[-2y]e^{1-x^2-y^2} \right] [3-x^2-3y^2] + 2ye^{1-x^2-y^2}[-2y].$$

$$\frac{\partial^2 F}{\partial x \partial y} = 2x[-2y]e^{1-x^2-y^2}[1-x^2-3y^2] + 2xe^{1-x^2-y^2}[-2y].$$

At  $(0,0)$ :  $\frac{\partial^2 F}{\partial x^2} \Big|_{(0,0)} = 2e^1 > 0$ ,  $\frac{\partial^2 F}{\partial y^2} \Big|_{(0,0)} = 2e^1 \cdot 3 = 6e^1$ ,  $\frac{\partial^2 F}{\partial x \partial y} \Big|_{(0,0)} = 0$ .

$D = (2e^1)(6e^1) - 0^2 = 12e^2 > 0$ . So,  $(0,0)$  is a rel. min.

At  $(0, \pm 1)$ :  $\frac{\partial^2 F}{\partial x^2} \Big|_{(0, \pm 1)} = 2e^0 \cdot [1-3] = -4 < 0$ ,  $\frac{\partial^2 F}{\partial y^2} \Big|_{(0, \pm 1)} = [2e^0 - 4e^0][3-3] - 12e^0 = -12 < 0$ .

$\frac{\partial^2 F}{\partial x \partial y} \Big|_{(0, \pm 1)} = 0$ .  $D = (-4)(-12) - 0^2 > 0$ .  $\therefore$  Both  $(0,1)$  &  $(0,-1)$  are local max.



$$(\pm 1, 0): \frac{\partial^2 F}{\partial x^2} \Big|_{(\pm 1, 0)} = -4, \quad \frac{\partial^2 F}{\partial y^2} \Big|_{(\pm 1, 0)} = 2 \cdot 2 = 4, \quad \frac{\partial^2 F}{\partial x \partial y} \Big|_{(\pm 1, 0)} = 0.$$

$D = (-4)(4) - 0^2 < 0$ . So,  $(1, 0)$  &  $(-1, 0)$  are saddle points.

25. Let  $F(x, y) = x^2 - 2xy + y^2$ . Here  $D = 0$ . Can you say whether the critical points are local minima, local maxima, or saddle points?

$D = 0 \Rightarrow$  the 2<sup>nd</sup>-derivative max.-min. test doesn't tell us anything, but we can still find the critical points & try to examine them using other methods:

$$\frac{\partial F}{\partial x} = 2x - 2y, \quad \frac{\partial F}{\partial y} = -2x + 2y, \quad \frac{\partial F}{\partial x} = \frac{\partial F}{\partial y} = 0 \Rightarrow 2x = 2y \text{ \& } 2x = 2y$$

$\Rightarrow x = y$ . So all points of the form  $(x, x)$  are critical points (i.e. all points along the line  $y = x$ ).

$$F(x, x) = x^2 - 2x^2 + x^2 = 0.$$

Notice:  $F(x, y) = \underbrace{(x-y)^2}_{\geq 0} = (x-y)(x-y) = x^2 - 2xy + y^2 \geq 0$ .

$\therefore$  All points not on  $y = x$  are  $\geq$  all points on the line  $y = x$   
 $\Rightarrow$  the critical points  $(x, x)$  are all local ~~minima~~.

27. Suppose  $f: \mathbb{R}^3 \rightarrow \mathbb{R}$  is  $C^2$  & that  $x_0$  is a critical point for  $f$ . Suppose  $Hf(x_0)(h) = h_1^2 + h_2^2 + h_3^2 + 4h_2h_3$ . Does  $f$  have a local max, min., or saddle at  $x_0$ ?

Recall:  $Hf(x_0)(h)$  is pos. definite if  $Hf(x_0)(h) > 0 \forall h \in \mathbb{R}^3 \text{ \& } Hf(x_0)(h) = 0 \Leftrightarrow h = 0$ .

$Hf(x_0)(h)$  is neg. definite if  $Hf(x_0)(h) < 0 \forall h \in \mathbb{R}^3 \text{ \& } Hf(x_0)(h) = 0 \Leftrightarrow h = 0$ .

Here  $Hf(x_0)(h) = \frac{1}{2} [h_1 \ h_2 \ h_3] \begin{bmatrix} \frac{\partial^2 F}{\partial x_1 \partial x_1} & \frac{\partial^2 F}{\partial x_1 \partial x_2} & \frac{\partial^2 F}{\partial x_1 \partial x_3} \\ \vdots & \vdots & \vdots \\ \frac{\partial^2 F}{\partial x_3 \partial x_1} & \dots & \frac{\partial^2 F}{\partial x_3 \partial x_3} \end{bmatrix} \begin{bmatrix} h_1 \\ h_2 \\ h_3 \end{bmatrix}$  is the Hessian of  $f$  at  $x_0$ .

Theorem 5 [2<sup>nd</sup> Derivative Test for Local Extrema]:  $\exists f: U \subset \mathbb{R}^n \rightarrow \mathbb{R}$

is  $C^3$ ,  $x_0 \in U$  a critical point of  $f$ , &  $Hf(x_0)$  pos. def.  $\Rightarrow x_0$  rel. min.,

" &  $Hf(x_0)$  neg. def.  $\Rightarrow x_0$  rel. Max.,

$\exists Hf(x_0)$  is neither pos. nor neg. def.  $\Rightarrow x_0$  is a saddle point.

Here  $Hf(x_0)$  is not pos. def. & not neg. def.. Indeed,

$$Hf(x_0)(\sqrt{2}, -1, 1) = (\sqrt{2})^2 + (-1)^2 + 1^2 + 4(-1)(1) = 2 + 1 + 1 - 4 = 0.$$

$$\text{But } h = (\sqrt{2}, -1, 1) \neq 0.$$

$\therefore x_0$  is a saddle point.