# Finite Model Theory and CSPs 

Albert Atserias<br>Universitat Politècnica de Catalunya<br>Barcelona, Spain

June 19, 2007

## Part 1

## FIRST-ORDER LOGIC, TYPES AND GAMES

## Relational Structures vs. Functional Structures

Structures:

$$
\mathbf{M}=\left(M, R_{1}^{\mathbf{M}}, R_{2}^{\mathbf{M}}, \ldots, f_{1}^{\mathbf{M}}, f_{2}^{\mathbf{M}}, \ldots\right)
$$

I go relational:

> relational structures
> $(\equiv$ no functions)

Algebraists go functional:

> algebras
> $(\equiv$ no relations).

## First-Order Logic: Syntax

Let $x_{1}, x_{2}, \ldots$ be a collection of first-order variables (intended to range over the points of the universe of a structure).

## Definition

The collection of first-order formulas of $\sigma$ (FO) is defined as:

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## First-Order Logic: Semantics

Let $\varphi(\mathbf{x})$ be a formula with free variables $\mathbf{x}=\left(x_{1}, \ldots, x_{r}\right)$, let $\mathbf{A}$ be a structure, and let $\mathbf{a}=\left(a_{1}, \ldots, a_{r}\right) \in A^{r}$.

$$
\mathbf{A} \models \varphi\left(x_{1} / a_{1}, \ldots, x_{r} / a_{n}\right)
$$

## First-Order Logic: Semantics

Let $\varphi(\mathbf{x})$ be a formula with free variables $\mathbf{x}=\left(x_{1}, \ldots, x_{r}\right)$, let $\mathbf{A}$ be a structure, and let $\mathbf{a}=\left(a_{1}, \ldots, a_{r}\right) \in A^{r}$.

$$
\mathbf{A} \models \varphi\left(x_{1} / a_{1}, \ldots, x_{r} / a_{n}\right)
$$

Example

$$
\varphi(x):=(\forall y)(\exists z)(E(x, z) \wedge E(y, z))
$$



$$
\mathbf{G} \models \varphi(x / a)
$$

## Fragments of First-Order Logic

## Fragments:

full (FO)

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$,
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## Fragments of First-Order Logic

## Fragments:

full (FO), existential ( $\exists \mathrm{FO}$ )

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$,
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## Fragments of First-Order Logic

## Fragments:

full (FO), existential ( $\exists \mathrm{FO}$ ), existential positive $\left(\exists \mathrm{FO}^{+}\right)$

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$,
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## Fragments of First-Order Logic

## Fragments:

full (FO), existential ( $\exists \mathrm{FO}$ ) , existential positive $\left(\exists \mathrm{FO}^{+}\right)$, quantifier-free

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$,
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## Fragments of First-Order Logic

## Fragments:

full (FO), existential ( $\exists \mathrm{FO})$, existential positive $\left(\exists \mathrm{FO}^{+}\right)$, quantifier-free, atomic and negated atomic

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$,
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## Fragments of First-Order Logic

## Fragments:

full (FO) , existential ( $\exists \mathrm{FO})$, existential positive $\left(\exists \mathrm{FO}^{+}\right)$, quantifier-free, atomic and negated atomic , atomic.

- $x_{i_{1}}=x_{i_{2}}$ and $R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- $x_{i_{1}} \neq x_{i_{2}}$ and $\neg R_{i}\left(x_{i_{1}}, \ldots, x_{i_{r}}\right)$ are formulas,
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \wedge \psi)$
- if $\varphi$ and $\psi$ are formulas, so is $(\varphi \vee \psi)$,
- if $\varphi$ is a formula, so is $\left(\exists x_{i}\right)(\varphi)$
- if $\varphi$ is a formula, so is $\left(\forall x_{i}\right)(\varphi)$.


## Types

## Definition

Let $\mathbf{A}$ be a structure, let $\mathbf{a}=\left(a_{1}, \ldots, a_{r}\right)$ an $r$-tuple in $A^{r}$, and let $L$ be a collection of first-order formulas:

$$
\begin{aligned}
& \text { 1. } \operatorname{tp}_{L}(\mathbf{A}, \mathbf{a})=\left\{\varphi\left(x_{1}, \ldots, x_{r}\right) \in L: \mathbf{A} \models \varphi\left(x_{1} / a_{1}, \ldots, x_{r} / a_{r}\right)\right\} \\
& \text { 2. } \operatorname{tp}_{L}(\mathbf{A})=\{\varphi \in L: \mathbf{A} \models \varphi\}
\end{aligned}
$$

Intuitively: if $\operatorname{tp}_{L}(\mathbf{A}, \mathbf{a}) \subseteq \operatorname{tp}_{L}(\mathbf{B}, \mathbf{b})$, then every $L$-expressible property satisfied by $\mathbf{a}$ in $\mathbf{A}$ is also satisfied by $\mathbf{b}$ in $\mathbf{B}$. We write

$$
\mathbf{A}, \mathbf{a} \leq^{L} \mathbf{B}, \mathbf{b}
$$

## Examples of Types



$$
\mathbf{G}, a \not \mathbb{Z}^{\mathrm{FO}} \mathbf{H}, b
$$

because

- In G, every point has a common neighbor with a
- In H, not every point has a common neighbor with $b$

$$
\varphi(x):=(\forall y)(\exists z)(E(x, z) \wedge E(y, z))
$$

## Meaning of Types

What does $\mathbf{A}, \mathbf{a} \leq^{L} \mathbf{B}, \mathbf{b}$ mean?

- when $L=$ \{all atomic formulas $\}$, it means the mapping ( $a_{i} \mapsto b_{i}: i=1, \ldots, r$ ) is a homomorphism between the substructures induced by $\mathbf{a}$ and $\mathbf{b}$
- when $L=$ \{all atomic and negated atomic formulas\}, it means the mapping ( $a_{i} \mapsto b_{i}: i=1, \ldots, r$ ) is an isomorphism between the substructures induced by $\mathbf{a}$ and $\mathbf{b}$


## Meaning of Types

What does $\mathbf{A}, \mathbf{a} \leq^{L} \mathbf{B}, \mathbf{b}$ mean?

- when $L=$ \{all formulas with at most one quantifier\}, it means the substructures induced by $\mathbf{a}$ and $\mathbf{b}$ are isomorphic and have the same types of extensions by one point
- when $L=\{$ all formulas with at most two quantifiers $\}$, it means the substructures induced by ...
- note that $\mathbf{A}, \mathbf{a} \leq{ }^{\mathrm{FO}} \mathbf{B}, \mathbf{b}$ iff $\mathbf{B}, \mathbf{b} \leq{ }^{\mathrm{FO}} \mathbf{A}, \mathbf{a}$. We write

$$
\mathbf{A}, \mathbf{a} \equiv \equiv^{L} \mathbf{B}, \mathbf{b}
$$

## Ehrenfeucht-Fraïssé Games

Two players: Spoiler and Duplicator
Two structures: A and B
Unlimited pebbles: $p_{1}, p_{2}, \ldots$ and $q_{1}, q_{2}, \ldots$
An initial position: $\mathbf{a} \in A^{r}$ and $\mathbf{b} \in B^{r}$
Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism. Otherwise, Duplicator wins.

## Ehrenfeucht-Fraïssé Games

Two players: Spoiler and Duplicator
Two structures: A and B
Unlimited pebbles: $p_{1}, p_{2}, \ldots$ and $q_{1}, q_{2}, \ldots$
An initial position: $\mathbf{a} \in A^{r}$ and $\mathbf{b} \in B^{r}$
Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism. Otherwise, Duplicator wins.

## Ehrenfeucht-Fraïssé Games

Two players: Spoiler and Duplicator
Two structures: A and B
Unlimited pebbles: $p_{1}, p_{2}, \ldots$ and $q_{1}, q_{2}, \ldots$
An initial position: $\mathbf{a} \in A^{r}$ and $\mathbf{b} \in B^{r}$
Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism. Otherwise, Duplicator wins.

## Ehrenfeucht-Fraïssé Games

Two players: Spoiler and Duplicator
Two structures: A and B
Unlimited pebbles: $p_{1}, p_{2}, \ldots$ and $q_{1}, q_{2}, \ldots$
An initial position: $\mathbf{a} \in A^{r}$ and $\mathbf{b} \in B^{r}$
Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism. Otherwise, Duplicator wins.

## Ehrenfeucht-Fraïssé Games

Two players: Spoiler and Duplicator
Two structures: A and B
Unlimited pebbles: $p_{1}, p_{2}, \ldots$ and $q_{1}, q_{2}, \ldots$
An initial position: $\mathbf{a} \in A^{r}$ and $\mathbf{b} \in B^{r}$
Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism. Otherwise, Duplicator wins.

## Back-and-Forth Systems

## Definition (Fraïssé)

An n-round winning strategy for the Duplicator on $\mathbf{A}, \mathbf{a}$ and $\mathbf{B}, \mathbf{b}$ is a sequence of non-empty sets of partial isomorphisms $\left(F_{i}: i<n\right)$ such that $(\mathbf{a} \mapsto \mathbf{b}) \in F_{0}$ and

1. Retract For every $i<n$, every $f \in F_{i}$ and every $g \subseteq f$, we have $g \in F_{i}$,
2. Forth For every $i<n-1$, every $f \in F_{i}$, and every $a \in A$, there exists $g \in F_{i+1}$ with $a \in \operatorname{Dom}(g)$ and $f \subseteq g$.
3. Back For every $i<n-1$, every $f \in F_{i}$, and every $b \in B$, there exists $g \in F_{i+1}$ with $b \in \operatorname{Rng}(g)$ and $f \subseteq g$.
$\mathbf{A}, \mathbf{a} \equiv{ }^{\mathrm{EF}} \mathbf{B}, \mathbf{b}$ : there is an n-round winning strategy for every $n$

## Indistinguishability vs Games

Theorem (Ehrenfeucht, Fraïssé)

$$
\mathbf{A}, \mathbf{a} \equiv{ }^{\mathrm{FO}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \equiv \equiv^{\mathrm{EF}} \mathbf{B}, \mathbf{b}
$$

## Ehrenfeucht-Fraïssé Games for Fragments

Two players: Spoiler and Duplicator Two structures: A and B
Unlimited pebbles: $p_{1}, p_{2}, \ldots$ and $q_{1}, q_{2}, \ldots$ Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism (resp. homomorphism).
$\equiv{ }^{\mathrm{EF}}, \leq^{\exists \mathrm{EF}}, \leq^{\exists \mathrm{EF}^{+}}$: winning strategy for every $n$.

## Indistinguishability vs Games

Theorem (Ehrenfeucht and Fraïssé)

$$
\begin{aligned}
& \mathbf{A}, \mathbf{a} \equiv^{\mathrm{FO}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \equiv^{\mathrm{EF}} \mathbf{B}, \mathbf{b} \\
& \mathbf{A}, \mathbf{a} \leq^{\exists \mathrm{FO}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \leq \leq^{\exists \mathrm{EF}} \mathbf{B}, \mathbf{b} \\
& \mathbf{A}, \mathbf{a} \leq^{\exists \mathrm{FO}^{+}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \leq \leq^{\exists \mathrm{EF}} \\
& \mathbf{B}, \mathbf{b}
\end{aligned}
$$

## Indistinguishability is too Strong for Finite Structures

For finite structures, these concepts add nothing:

- $\mathbf{A} \equiv{ }^{\mathrm{FO}} \mathbf{B} \Longleftrightarrow \mathbf{A} \cong \mathbf{B}$
- $\mathbf{A} \leq^{\exists \mathrm{FO}^{+}} \mathbf{B} \Longleftrightarrow \mathbf{A} \rightarrow \mathbf{B}$
where
- $\mathbf{A} \cong \mathbf{B}$ : there is an isomorphism between $\mathbf{A}$ and $\mathbf{B}$
- $\mathbf{A} \rightarrow \mathbf{B}$ : there is a homomorphism from $\mathbf{A}$ to $\mathbf{B}$


## Indistinguishability is too Strong for Finite Structures

Why? Canonical formulas:

- For every finite $\mathbf{A}$, there exists an FO-sentence $\varphi_{\mathbf{A}}$ such that

$$
\mathbf{B} \models \varphi_{\mathbf{A}} \Longleftrightarrow \mathbf{A} \cong \mathbf{B}
$$

- For every finite $\mathbf{A}$, there exists an $\exists \mathrm{FO}^{+}$-sentence $\psi_{\mathbf{A}}$ such that

$$
\mathbf{B} \models \psi_{\mathbf{A}} \Longleftrightarrow \mathbf{A} \rightarrow \mathbf{B} .
$$

Second has a name: the canonical conjunctive query of $\mathbf{A}$ [Chandra and Merlin]

## Examples of Canonical Formulas

Let $\mathbf{A}$ be:

$$
\begin{aligned}
\varphi_{\mathbf{A}}= & (\exists x)(\exists y)(\exists z) \\
& (x \neq y \wedge y \neq z \wedge x \neq z \wedge \\
& (\forall u)(u=x \vee u=y \vee u=z) \wedge \\
& E(x, y) \wedge E(y, z) \wedge E(z, x) \wedge \\
\neg & E(y, x) \wedge \neg E(z, y) \wedge \neg E(x, z) \wedge \\
\neg & E(x, x) \wedge \neg E(y, y) \wedge \neg E(z, z)) . \\
& \\
\psi_{\mathbf{A}}= & (\exists x)(\exists y)(\exists z) \\
& (E(x, y) \wedge E(y, z) \wedge E(z, x))
\end{aligned}
$$

## First-Order Logic: $k$-Variable Fragments

Let us limit the set of first-order variables to $x_{1}, \ldots, x_{k}$.

- $\mathrm{FO}^{k}$ : $k$-variable fragment of FO
- $\exists \mathrm{FO}^{k}$ : $k$-variable fragment of $\exists \mathrm{FO}$
- $\exists \mathrm{FO}^{+, k}$ : $k$-variable fragment of $\exists \mathrm{FO}^{+}$

Note: Variables may be reused!
Example:

$$
\begin{aligned}
\operatorname{path}_{5}(x, y):= & (\exists z)(E(x, z) \wedge \\
& (\exists x)(E(z, x) \wedge \\
& (\exists z)(E(x, z) \wedge \\
& (\exists x)(E(z, x) \wedge E(x, y)))))
\end{aligned}
$$

## k-Pebble EF-Games

Two players: Spoiler and Duplicator Two structures: A and B
Limited pebbles: $p_{1}, \ldots, p_{k}$ and $q_{1}, \ldots, q_{k}$
An initial position: $\mathbf{a} \in A^{r}$ and $\mathbf{b} \in B^{r}$
Rounds:


Referee: Spoiler wins if at any round the mapping $p_{i} \mapsto q_{i}$ is not a partial isomorphism (resp. homomorphism). Otherwise, Duplicator wins.
$\equiv \mathrm{EF}^{k}, \leq^{\exists \mathrm{EF}}{ }^{k}, \leq^{\exists \mathrm{EF}}{ }^{+, k}:$ a strategy for every $n$.

## Indistinguishability vs $k$-Pebbles Games

Theorem (Barwise, Immerman, Kolaitis and Vardi)

$$
\begin{gathered}
\mathbf{A}, \mathbf{a} \equiv^{\mathrm{FO}^{k}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \equiv^{\mathrm{EF}^{k}} \mathbf{B}, \mathbf{b} \\
\mathbf{A}, \mathbf{a} \leq^{\exists \mathrm{EF}^{k}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \leq^{\exists \mathrm{FO}^{k}} \mathbf{B}, \mathbf{b} \\
\mathbf{A}, \mathbf{a} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}, \mathbf{b} \text { if and only if } \mathbf{A}, \mathbf{a} \leq^{\exists \mathrm{EF}^{+, k}} \mathbf{B}, \mathbf{b}
\end{gathered}
$$

## Fundamental Questions

Obviously,

- $\mathbf{A} \cong \mathbf{B} \Longrightarrow \mathbf{A} \equiv{ }^{\mathrm{FO}^{k}} \mathbf{B}$
- $\mathbf{A} \rightarrow \mathbf{B} \Longrightarrow \mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$
$k$-Width Problem: For what A's do we have
- $\mathbf{A} \equiv \mathrm{FO}^{k} \mathbf{B} \Longrightarrow \mathbf{A} \cong \mathbf{B}$
- $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B} \Longrightarrow \mathbf{A} \rightarrow \mathbf{B}$

Width- $k$ Problem: For what B's do we have

- $\mathbf{A} \equiv \mathrm{FO}^{k} \mathbf{B} \Longrightarrow \mathbf{A} \cong \mathbf{B}$
- $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B} \Longrightarrow \mathbf{A} \rightarrow \mathbf{B}$


## Why Are These Questions Relevant for Us?

Theorem (Kolaitis and Vardi)
For finite $\mathbf{A}$ and $\mathbf{B}$, the following are equivalent:

- $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{k,+}} \mathbf{B}$
- the (strong) $k$-consistency algorithm run on the CSP instance given by the scopes in $\mathbf{A}$ and the constraint relations in $\mathbf{B}$ does not detect a contradiction.

Note: The $k$-consistency algorithm runs in polynomial time for every fixed $k$.

## Why Are These Questions Relevant for Us?

The $k$-width/width- $k$ problems (for homomorphisms) aim for a classification of the scopes/templates that are solvable by a widely used algorithm.

## Part II

## ON THE $k$-WIDTH PROBLEM

## Some Sufficient Conditions for $\mathrm{FO}^{k}$

Theorem (Lindell)
If $\mathbf{G}$ is a tree of degree $d$, then for all $\mathbf{H}$ we have

$$
\mathbf{G} \equiv{ }^{\mathrm{FO}^{d+2}} \mathbf{H} \Longrightarrow \mathbf{G} \cong \mathbf{H}
$$

Theorem (Grohe)
If $\mathbf{G}$ is a 3-connected planar graph, then for all $\mathbf{H}$ we have

$$
\mathbf{G} \equiv{ }^{\mathrm{FO}^{30}} \mathbf{H} \Longrightarrow \mathbf{G} \cong \mathbf{H}
$$

## Proof of Lindell's Theorem

Proof by Example:


## Proof of Lindell's Theorem

Proof by Example:


## Proof of Lindell's Theorem

Proof by Example:


## Proof of Lindell's Theorem

Proof by Example:


## Proof of Lindell's Theorem

Proof by Example:


## Proof of Lindell's Theorem

Proof by Example:


## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.


## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.


## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.


## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.



## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.



## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.



## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.


## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.



## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.



## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.


Definition (Robertson and Seymour)
A graph has treewidth at most $k$ if it is the subgraph of a $k$-tree.

## Treewidth

Definition

- $\mathbf{K}_{k+1}$ is a $k$-tree,
- if $\mathbf{G}$ is a $k$-tree, then adding a vertex connected to all vertices of a $\mathbf{K}_{k}$-subgraph of $\mathbf{G}$ is a $k$-tree.


Definition (Robertson and Seymour)
A graph has treewidth at most $k$ if it is the subgraph of a $k$-tree.

## Sufficient Condition for $\exists \mathrm{FO}^{+, k}$

Theorem (Dalmau, Kolaitis, and Vardi)
If the treewidth of the Gaifman graph of the core of $\mathbf{A}$ is less than $k$, then for all $\mathbf{B}$ be have

$$
\mathbf{A} \leq^{\exists \mathrm{FO}^{+}, k} \mathbf{B} \Longrightarrow \mathbf{A} \rightarrow \mathbf{B}
$$

## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Proof of DKV's Theorem

Proof by Example:


## Necessary Conditions?

Solving the $k$-width problem for $\equiv \mathrm{FO}^{k}$ looks like an extraordinarily difficult question (undecidable?)

But, perhaps surprisingly, for $\leq{ }^{\exists \mathrm{FO}^{+, k}}$ it is doable.

Theorem (A..., Bulatov, and Dalmau)
The DKV condition is also necessary.

## Corollary

The following are equivalent:

1. the treewidth of the Gaifman graph of the core of $\mathbf{A}$ is less than $k$
2. $\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B} \Longrightarrow \mathbf{A} \rightarrow \mathbf{B}$ for every $\mathbf{B}$.

## Part III

INDUCTIVE DEFINITIONS AND DATALOG

## Inductive Definitions: Example

There is a path from $x$ to $y$ :

$$
\begin{aligned}
P^{(0)}(x, y) & :=\text { false } \\
P^{(n+1)}(x, y) & :=x=y \vee(\exists z)\left(E(x, z) \wedge P^{(n)}(z, y)\right)
\end{aligned}
$$

$$
P(x, y) \equiv \bigvee_{n} P^{(n)}(x, y)
$$

## Inductive Definitions: General Form

Let $\varphi(\mathbf{x}, X)$ be a formula with $r$ variables and an $r$-ary second order variable $X$ that appears positively. We form the iterates:

$$
\begin{aligned}
\varphi^{(0)}(\mathbf{x}) & :=\text { false } \\
\varphi^{(n+1)}(\mathbf{x}) & :=\varphi\left(\mathbf{x}, X / \varphi^{(n)}\right)
\end{aligned}
$$

The union $U=\bigvee_{n} \varphi^{(n)}$ is a fixed point, in fact the least one:

Theorem (Knaster-Tarski)
On every finite structure,

- $U(\mathbf{x}) \equiv \varphi(\mathbf{x}, X / U)$
- if $X(\mathbf{x}) \equiv \varphi(\mathbf{x}, X)$, then $U(\mathbf{x}) \subseteq X(\mathbf{x})$.


## Fixed-Point Logics

Least Fixed Point Logic (LFP):
closure of FO under inductive definitions.
Existential LFP ( $\exists \mathrm{LFP}$ ):
closure of $\exists \mathrm{FO}$ under inductive definitions.
Existential-Positive LFP $\left(\exists \mathrm{LFP}^{+}\right)$: closure of $\exists \mathrm{FO}^{+}$under inductive definitions.

And the $k$-variable fragments: $\mathrm{LFP}^{k}, \exists \mathrm{LFP}^{k}$, and $\exists \mathrm{LFP}^{+, k}$

## Fixed-Point Logics vs. Datalog

Datalog is just convenient syntax for $\exists \mathrm{LFP}^{+}$:

$$
\begin{aligned}
& P(x, y):-x=y \\
& P(x, y):-(\exists z)(E(x, z) \wedge P(z, y))
\end{aligned}
$$

We can do the same for LFP.
Example:

Game reachability.


$$
\begin{aligned}
P(x, y) & :-I(x) \wedge E(x, y) \\
P(x, y) & :-I(x) \wedge(\exists z)(E(x, z) \wedge P(z, y)) \\
P(x, y) & :-I(x) \wedge(\forall z)(E(x, z) \rightarrow P(z, y))
\end{aligned}
$$

## Infinitary Logics

Infinitary Logic $\left(\mathrm{L}_{\infty} \omega\right)$ :
closure of FO under infinitary conjunctions and disjunctions
Existential $\mathrm{L}_{\infty \omega}\left(\exists \mathrm{L}_{\infty \omega}\right)$ :
closure of $\exists \mathrm{FO}$ under infinitary conjunctions and disjunctions
Existential-Positive $\mathrm{L}_{\infty \omega}\left(\exists \mathrm{L}_{\infty \omega}^{+}\right)$:
closure of $\exists \mathrm{FO}^{+}$under infinitary conjunctions and disjunctions
And the $k$-variable fragments: $\mathrm{L}_{\infty \omega}^{k}, \exists \mathrm{~L}_{\infty \omega}^{k}$, and $\exists \mathrm{L}_{\infty \omega}^{+, k}$

## Fixed-Point Logics and Infinitary Logics

Carefully reusing variables we have [Barwise, Kolaitis and Vardi]:

$$
\mathrm{FO}^{k} \subseteq \mathrm{LFP}^{k} \subseteq \mathrm{~L}_{\infty \omega}^{k}
$$

Also for fragments [Kolaitis and Vardi]:

$$
\begin{aligned}
& \exists \mathrm{FO}^{k} \subseteq \exists \mathrm{LFP}^{k} \subseteq \exists \mathrm{~L}_{\infty \omega}^{k} \\
& \exists \mathrm{FO}^{+, k} \subseteq \exists \mathrm{LFP}^{+, k} \subseteq \exists \mathrm{~L}_{\infty \omega}^{+, k}
\end{aligned}
$$

## Infinitary Logic and First-Order Logic in the Finite

Theorem (Kolaitis and Vardi)
For finite $\mathbf{A}$ and $\mathbf{B}$, the following are equivalent:

1. $\mathbf{A} \equiv{ }^{\mathrm{FO}^{k}} \mathbf{B}\left(\right.$ resp. $\mathbf{A} \leq^{\exists \mathrm{FO}^{k}} \mathbf{B}$ and $\left.\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}\right)$
2. $\mathbf{A} \equiv{ }^{\mathrm{L}_{\infty}^{k} \omega} \mathbf{B}$ (resp. $\mathbf{A} \leq^{\exists \mathrm{L}_{\infty \omega}^{k} \omega} \mathbf{B}$ and $\left.\mathbf{A} \leq^{\exists \mathrm{L}_{\infty \omega}^{+, k}} \mathbf{B}\right)$

Proof sketch (only for $\exists \mathrm{FO}^{+, k}$ vs $\exists \mathrm{L}_{\infty \omega}^{+, k}$ ):

- The appropriate game for $\exists \mathrm{L}_{\infty \omega}^{+, k}$ goes on for infinitely $(\omega)$ rounds.
- But after $|A|^{k}|B|^{k}+1$ rounds, some configuration must repeat, so if Spoiler has not won yet, Duplicator can survive forever. Q.E.D.


## Part IV

## ON THE WIDTH-k PROBLEM

## Structures Having Width- $\exists \mathrm{FO}^{+, k}$

## Theorem (Kolaitis and Vardi, Feder and Vardi)

The following are equivalent:

1. $\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ implies $\mathbf{A} \rightarrow \mathbf{B}$ for every $\mathbf{A}$
2. $\neg \mathrm{CSP}(\mathbf{B})$ is $\exists \mathrm{LFP}^{+, k}$-definable
3. $\neg \operatorname{CSP}(\mathbf{B})$ is $\exists \mathrm{L}_{\infty}^{+}+\omega^{-}$-definable

Where $" \neg \operatorname{CSP}(\mathbf{B})$ is definable in $L$ " means that there exists a sentence $\varphi$ in $L$ such that $\mathbf{A} \models \varphi$ iff $\mathbf{A} \nrightarrow \mathbf{B}$.

## Structures Having Width- $\exists \mathrm{FO}^{+, k}$

Theorem (Kolaitis and Vardi, Feder and Vardi)
The following are equivalent:

1. $\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ implies $\mathbf{A} \rightarrow \mathbf{B}$ for every $\mathbf{A}$
2. $\neg \mathrm{CSP}(\mathbf{B})$ is $\exists \mathrm{LFP}^{+, k}$-definable
3. $\neg \operatorname{CSP}(\mathbf{B})$ is $\exists \mathrm{L}_{\infty}^{+}, k$-definable
4. $\neg \mathrm{CSP}(\mathbf{B})$ is $\exists \mathrm{LFP}^{k}$-definable
5. $\neg \operatorname{CSP}(\mathbf{B})$ is $\exists \mathrm{L}_{\infty}^{k} \omega^{-d e f i n a b l e ~}$

Where " $\neg \operatorname{CSP}(\mathbf{B})$ is definable in $L$ " means that there exists a sentence $\varphi$ in $L$ such that $\mathbf{A} \models \varphi$ iff $\mathbf{A} \nrightarrow \mathbf{B}$.

## Proof of $\neg(1) \Rightarrow \neg(5)$

Suppose there exist $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ such that $\mathbf{A} \nrightarrow \mathbf{B}$.

So $\neg \operatorname{CSP}(\mathbf{B})$ is not $\exists L_{\infty}^{k}$-definable. Q.E.D.

## Proof of $\neg(1) \Rightarrow \neg(5)$

Suppose there exist $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ such that $\mathbf{A} \nrightarrow \mathbf{B}$.

Claim:


So $\neg \operatorname{CSP}(\mathbf{B})$ is not $\exists \mathrm{L}_{\infty}^{k} \omega^{- \text {definable. Q.E.D. }}$

## Proof of $\neg(1) \Rightarrow \neg(5)$

Suppose there exist $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ such that $\mathbf{A} \nrightarrow \mathbf{B}$.

Claim:


Strategy for Duplicator:

- copy move on A on first component,
- use the $h$ from the strategy for $\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ for the second.

So $\neg \operatorname{CSP}(\mathbf{B})$ is not $\exists \mathrm{L}_{\infty}^{k}$-definable. Q.E.D.

## Proof of $\neg(1) \Rightarrow \neg(5)$

Suppose there exist $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ such that $\mathbf{A} \nrightarrow \mathbf{B}$.

Claim:


Strategy for Duplicator:

- copy move on $\mathbf{A}$ on first component,
- use the $h$ from the strategy for $\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ for the second.

Why does it work?

- $a \in R^{\mathbf{A}}$ implies $(a, h(a)) \in R^{\mathbf{A} \times \mathbf{B}}$ because $h: \subset \mathbf{A} \rightarrow \mathbf{B}$,
- $a \notin R^{\mathbf{A}}$ implies $(a, h(a)) \notin R^{\mathbf{A} \times \mathbf{B}}$ by the definition of $\mathbf{A} \times \mathbf{B}$.

So $\neg \operatorname{CSP}(\mathbf{B})$ is not $\exists \mathrm{L}_{\infty}^{k}$-definable. Q.E.D.

## Proof of $\neg(1) \Rightarrow \neg(5)$

Suppose there exist $\mathbf{A} \leq{ }^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ such that $\mathbf{A} \nrightarrow \mathbf{B}$.

Claim:


Strategy for Duplicator:

- copy move on $\mathbf{A}$ on first component,
- use the $h$ from the strategy for $\mathbf{A} \leq^{\exists \mathrm{FO}^{+, k}} \mathbf{B}$ for the second.

Why does it work?

- $a \in R^{\mathbf{A}}$ implies $(a, h(a)) \in R^{\mathbf{A} \times \mathbf{B}}$ because $h: \subset \mathbf{A} \rightarrow \mathbf{B}$,
- $a \notin R^{\mathbf{A}}$ implies $(a, h(a)) \notin R^{\mathbf{A} \times \mathbf{B}}$ by the definition of $\mathbf{A} \times \mathbf{B}$.

But then any $\exists \mathrm{L}_{\infty \omega}^{k}$ formula that holds on $\mathbf{A}$ also holds on $\mathbf{A} \times \mathbf{B}$.
So $\neg \operatorname{CSP}(\mathbf{B})$ is not $\exists \mathrm{L}_{\infty}^{k}$-definable. Q.E.D.

## How far can we take this?

## Questions:

- Can we add LFP to the list?
- Can we add $\mathrm{L}_{\infty \omega}^{k}$ to the list?
- What are the LFP-definable $\operatorname{CSP}(\mathbf{B})$ 's? (resp. $\mathrm{L}_{\infty \omega}^{k}$ )

A partial answer:

Theorem (A..., Bulatov, and Dawar)
If $\operatorname{CSP}(\mathbf{B})$ is definable in $\mathrm{L}_{\infty \omega}^{k}$, then the variety of the algebra of $\mathbf{B}$ omits types 1 and 2.

This strengthens a result of Larose and Zádori (who had bounded width instead).

## Roadmap of the Proof: I

Part 1: Systems of equations in any non-trivial Abelian group is not $\mathrm{L}_{\infty}^{k} \omega^{\text {-definable. }}$

To do that, we construct two systems of equations $\mathbf{A}_{1}$ and $\mathbf{A}_{2}$ such that

1. $\mathbf{A}_{1}$ is satisfiable
2. $\mathbf{A}_{2}$ is unsatisfiable
3. $\mathbf{A}_{1} \equiv \mathrm{FO}^{k} \mathbf{A}_{2}$

Ideas borrowed from:

- A result of Cai, Fürer and Immerman in finite model theory.
- A construction of Tseitin in propositional proof complexity.
- Treewidth and the robber cop games of Thomas and Seymour in structural graph theory.


## Roadmap of the Proof: II

Part 2: Definability of $\neg \operatorname{CSP}(\mathbf{B})$ in fragments that are closed under Datalog-reductions (such as LFP and beyond) implies that the CSPs with an algebra having a reduct in the variety of the algebra of $\mathbf{B}$ are definable.

This required formalizing the appropriate reductions as
Datalog-reductions: homomorphic images, powers, subalgebras. See also [Larose and Zádori, Larose and Tesson].

## Roadmap of the Proof: III

Part 3: Algebraic: if the variety does not omit type 1 or 2 , then it has the reduct of a module.

## Part V

## CLOSING REMARKS

## Further Directions

- Are there digraphs with width- $k$, for some $k>3$ but not width-3?
- Prove that the width- $k$ problem for $\equiv^{\mathrm{FO}^{k}}$ is undecidable.
- Does $\mathrm{LFP} \cap \mathrm{HOM}=\exists \mathrm{LFP}^{+}$?

