

Midterm 1 | Scheduled conflicts:  
send email  
haskell@math.  
Wednesday 3 October mcmaster.  
7:00 - 8:30 pm CA

Material: sections 6.1 - 6.4  
of text book  
(Everything up to Friday this  
week.)

## Lecture 8: more on bases of vector spaces

Recall: Fundamental Theorem Suppose vector space  $V$  can be spanned by  $n$  vectors. Then any independent set in  $V$  has at most  $n$  vectors.

Corollary Let  $V$  be a vector space of dimension  $n$ . Then:

- 1) any set <sup>in  $V$</sup>  with more than  $n$  vectors is dependent.
- 2) any set of fewer than  $n$  vectors does not span  $V$ .
- 3) Going up: Any independent set of vectors in  $V$  can be expanded to a basis.

4) Going down: any spanning set for  $V$  can be cut down to a basis.

5) If  $B$  is a set with  $n$  vectors, then  $B$  is independent if and only if  $B$  spans  $V$ .

Proof.

1)  ~~$B$ , the fund thm~~, Since  $V$  has dimension  $n$ , it has a spanning set with  $n$  elts. By Fund Thm, no ind<sup>t</sup> set has more than  $n$  elts.

2) Suppose a set with  $m < n$  elts spans  $V$ . By the Fund Thm, no ind<sup>t</sup> set has more than  $m$  elts. But  $\dim(V) = n$ , so  $V$  has a basis with  $n > m$  elts. Contradiction. Hence no set with fewer than  $n$  elements spans  $V$ .

3+) Let  $B = \{v_1, \dots, v_m\}$  an ind<sup>t</sup> set in  $V$ ,  $m \leq n$ . Suppose  $\text{span}(B) \neq V$ .

Let  $v_{m+1} \in V \setminus \text{span}(B)$ . Then

$B_1 = \{v_1, \dots, v_m, v_{m+1}\}$  is independent (for otherwise  $v_{m+1} \in \text{span}(B)$ ).

If  $\text{span}(B_1) \neq V$  then repeat.

Claim:  $B_{n-m} = \{v_1, \dots, v_m, v_{m+1}, \dots, v_n\}$  spans  $V$ .

Pf If not, there is  $w \in V$ ,  $w \notin \text{span}(B_{n-m})$

Then  $\{v_1, \dots, v_m, v_{m+1}, \dots, v_n, w\}$  is independent. By 1), this is a contradiction.

Hence  $B_{n-m}$  is a basis for  $V$ , containing  $B$ .

4) Let  $S = \{w_1, \dots, w_k\}$  be a spanning set,  $k > n$ . If  $S$  is not independent, then one of its elements is a linear combination of the others.

Relabelling, WMA  $\exists w_k \in \text{span}\{w_1, \dots, w_{k-1}\}$

Let  $S_1 = \{w_1, \dots, w_{k-1}\}$ ; then  $V = \text{span}(S_1)$ .

Why? Let  $v \in V$ . Since  $V = \text{span}(S)$ ,

$$v = r_1 w_1 + r_2 w_2 + \dots + r_k w_k$$

We know  $w_k = a_1 w_1 + \dots + a_{k-1} w_{k-1}$

Substitute:

$$v = r_1 w_1 + \dots + r_{k-1} w_{k-1} + r_k (a_1 w_1 + \dots + a_{k-1} w_{k-1})$$

Using commutativity of vector addition, distributivity, scalar mult<sup>n</sup>,

$$v = (r_1 + r_k a_1) w_1 + \dots + (r_{k-1} + r_k a_{k-1}) w_{k-1}$$

Thus  $v \in \text{span}(S_1)$ .

Now continue: if  $S_1$  is not ind<sup>t</sup>, one of its elements, say  $w_{k-1} \in \text{span}\{w_1, \dots, w_{k-2}\}$

Repeat.

Claim:  $S_{k-n}$  is independent. If not,

then we could remove one elt as above, and  $S_{k-n+1}$  would still be a spanning set. But this contradicts 2).